# Prof. Dr. Najm Abdulzahra Makhrib Al-Seraji, Lectures in Mathematical Analysis (1) [2021-2022]

#### 14. Compactness

(14.1) <u>**Definition**</u>: Let  $F = \{A_{\lambda}\}_{{\lambda} \in \Lambda}$  is a family of subsets in X, and let  $A \subseteq X$ . We said that F is a covering of A, if  $A \subseteq A_{\lambda}$ . If  $\Lambda$  is a finite, then F is a finite covering of A.

### (14.2)**Example:** Let $X = \{1,2,3,4,5\}$ , $A = \{1,2\}$ , then

- 1. A family  $\{\{1\}, \{2,3\}\}$  represents a covering of A, since  $\{1,2,3\} = \{1\} \cup \{2,3\} \Rightarrow A \subseteq \{1\} \cup \{2,3\}$ .
- 2. A family  $\{\{2\}, \{4,5\}\}$  does not represent a covering of A, since  $A \nsubseteq \{\{2\} \cup \{4,5\}\}$ .
- 3. A family  $\{\{1,2\}, \{3,4\}, \{1,3,5\}\}$  represents a covering of A and X.

### (14.3)**Example:**

- 1. A family  $F = \{ [1 \frac{1}{n}, \frac{1}{n}] : n \in \mathbb{Z}^+ \}$  represents an infinite covering of A = (0,1).
- 2. A family  $F = \{(n, n + 3) : n \in \mathbb{Z}\}$  represents an infinite covering of  $\mathbb{R}$ .
- 3. A family  $F = \{(n, n + 1) : n \in \mathbb{Z}^+\}$  does not represent a covering of  $\mathcal{R}$ .
- (14.4) <u>**Definition**</u>: Let  $A \subseteq X$ ,  $F = \{A_{\lambda}\}_{{\lambda} \in \Lambda}$ ,  $G = \{B_{\gamma}\}_{{\gamma} \in \Lambda'}$  are covering of A, we said that F is a sub covering from G, if for all  ${\lambda} \in \Lambda \exists {\gamma} \in \Lambda' \ni A_{\lambda} = B_{\gamma}$ .
- (14.5) Example: Each of  $F = \{(n, n+3) : n \in \mathbb{Z}\}, G = \{(r, r+3) : r \in \mathcal{R}\}$  are covering of  $\mathcal{R}$  and F is a subfamily of G.
- (14.6) <u>**Definition**</u>: Let *A* is a subset of (X, d) and let  $F = \{A_{\lambda}\}_{{\lambda} \in \Lambda}$  is a covering of *A*. We said that *F* is an open cover, if  $A_{\lambda}$  is an open set in  $X \ \forall {\lambda} \in \Lambda$ .
- (14.7) **Example**: In  $(\mathcal{R}, d_u)$ . Prove that a family  $F = \{\left(\frac{1}{n}, 2\right) : n \in \mathbb{Z}^+\}$  is an open cover of A = (0,1).

**Solution:** let  $x \in A \implies 0 < x < 1$ ,

Since x > 0 (by Archimedes property)  $\Longrightarrow \exists k \in \mathbb{Z}^+ \ni \frac{1}{k} < x$ .

Since 
$$x < 1 \Longrightarrow \frac{1}{k} < x < 2 \Longrightarrow x \in (\frac{1}{k}, 2) \Longrightarrow x \in \bigcup_{n \in \mathbb{Z}^+} (\frac{1}{n}, 2)$$

 $\Rightarrow A \subset \bigcup_{n \in \mathbb{Z}^+} (\frac{1}{n}, 2) \Rightarrow F \text{ is a covering of } A.$ 

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Since  $(\frac{1}{n}, 2)$  is open set  $\forall n \in \mathbb{Z}^+ \Longrightarrow F$  is an open set of A.

(14.8)**Example**: In  $(\mathcal{R}, d_u)$ , we have

 $F_1 = \{(-n, n): n \in \mathbb{Z}^+\}, \quad F_2 = \{(-3n, 3n): n \in \mathbb{Z}^+\}, F_3 = \{(2n - 1, 2n + 1): n \in \mathbb{Z}\}$  are an open cover of  $\mathcal{R}$ , also  $F_2$  is a sub cover of  $F_1$ .

(14.9) **Example**: Let (X, d) be discrete metric space and  $A \subseteq X$ . Prove that  $F = \{\{x\}: x \in A\}$  is an open cover of A.

**Solution:** since  $A = \bigcup_{x \in A} \{x\} \Longrightarrow F$  is a covering of A.

Since (X, d) is discrete metric space  $\Longrightarrow \{x\}$  an open set in  $X \forall x \in X$ 

 $\implies$  F is an open cover of A.

(14.10)**<u>Definition</u>**: Let (X, d) is metric space and let  $A \subseteq X$ . We said that A is a compact set in X, if for all open cover A contains a finite sub covering.

(14.11)**Example**: In  $(\mathcal{R}, d_u)$ , we have

- 1. A = (0,1)does not compact in  $\mathcal{R}$ .
- 2.  $A = \{1, \frac{1}{2}, \frac{1}{3}, \dots, \frac{1}{n}, \dots, 0\}$  is a compact in  $\mathcal{R}$ .
- 3. A space  $\mathcal{R}$  does not compact.

**Solution:** (1) Take  $F = \{(\frac{1}{n}, 2) : n \in \mathbb{Z}^+\}$  is an open cover of A, but F does not contain on a finite sub cover  $\Longrightarrow A$  does not compact.

(14.12) **Example**: Every indiscrete metric space is a compact, since an unique open cover of X is X.

(14.13) **Theorem:** Every finite set in a metric space is a compact.

**Proof:** let A is a finite set in  $(X, d) \Longrightarrow A = \{a_1, a_2, ..., a_n\}$ 

Let  $F = \bigcup_{\lambda \in \Lambda} G_{\lambda}$  is a open cover of A in X.

 $\Rightarrow A \subseteq \bigcup_{\lambda \in \Lambda} G_{\lambda}$ ,  $G_{\lambda}$  is an open set in  $X \forall \lambda \in \Lambda$ .

Since  $a_i \in A \ \forall i = 1, 2, ..., n$ 

$$\Rightarrow a_i \in \bigcup_{\lambda \in \Lambda} G_{\lambda} \ \forall i = 1, 2, ..., n$$

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- $\Longrightarrow \forall i \exists \lambda_i \in \Lambda \ni a_i \in G_{\lambda_i}$
- $\Longrightarrow \{G_{\lambda_1}, G_{\lambda_2}, ..., G_{\lambda_n}\}$  is a finite sub covering from F of A.
- $\implies$  A is a compact set.
- (14.14)**Example**: Let (X, d) is discrete metric space, then X is a compact  $\iff X$  is a finite.
- (14.15) Theorem: Let  $(Y, d_Y)$  is a subspace of a metric space (X, d) and  $A \subseteq Y$ , then A is a compact in  $X \iff A$  is a compact in Y.
- (14.16) **Theorem**: Every closed set in a compact metric space is a compact.
- (14.17) **Theorem**: Every compact set in a metric space is a closed and bounded.
- (14.18)**<u>Definition</u>**: We said that a family of sets that satisfy a finite intersection property, if intersection every finite subfamily is a non- empty set.
- (14.19)Theorem: A metric space (X, d) is a compact  $\Leftrightarrow$  if every family of a closed sets satisfies a finite intersection property, then its non-empty set.
- (14.20)**<u>Definition</u>**: We said that a metric space (X, d) is a countable compact, if for all open cover and countable in X contains on a finite sub covering.
- (14.21) Theorem: A metric space (X, d) is a countable compact  $\Leftrightarrow$  every countable family of a closed sets and satisfy a finite intersection property is a non-empty intersection.